



Australian Standfirst

# Financial Services Guide (FSG)

[RESPONSIBLE ENTITY]

**Australian Standfirst Funds Management Ltd**

ACN 618 083 079 | AFSL 510315

[australianstandfirst.com](http://australianstandfirst.com)



Australian Standfirst

Simplifying  
Global Investing,  
Elevating Funds  
Management  
and Empowering  
Australians  
with clear Financial  
and Philanthropic  
Education.

## About this document

This Financial Services Guide (FSG) is an important document in which we Australian Standfirst Funds Management Ltd (ABN 48 618 083 079 AFSL 510315) and Australian Standfirst Asset Management Pty Ltd (ABN 51 612 265 219 AFS Representative 1276948) - referred to throughout this FSG as 'ASFML', 'we', 'us' or 'Australian Standfirst Funds Management Ltd', 'ASAMPL' or 'Australian Standfirst Asset Management Pty Ltd') outline:

- Who we are and how we can be contacted
- What financial services and types of financial products we are authorised to provide to you
- How we (and any other relevant parties) are remunerated
- Details of any potential conflicts of interest
- Any relationships or associations we may have with product issuers
- Details of our internal and external dispute resolution procedures and how you can access them

This FSG should assist you in deciding whether to use any of our financial products or financial services. If you choose to use any of our financial products and financial services you may also receive other documents about those products or services, which you should read carefully. The fees, charges and remuneration information in this FSG relate to our dealings with you as a retail client. Different arrangements may apply for wholesale clients.

## Product Disclosure Statement (PDS)

A PDS is a document (or group of documents) that describes a financial product. A PDS (or other offer document) contains important information to assist you to make a decision about the product it describes. You should receive and review the PDS (or other offer document) provided by us before you make an investment decision about our products, or a product we recommend.

## Who we are?

Australian Standfirst is a leading Australian global investing specialist, well-known for providing clear insights and original themes for client investment portfolios and philanthropic practices.

Through these clear and candid insights, prudent judgement and strategic thinking towards wealth accumulation and stability, we develop modern and strategic asset allocations which enables us to work with select family groups and their professional practitioners as well as individual wholesale clients to manage their financial risks and prepare them for the future.

You can find more information about us at: <https://australianstandfirst.com/>





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## How can we be contacted?

If you require any additional information please contact us on via:



**Write To Us:** Australian Standfirst, GPO Box 4808,  
Melbourne, VIC 3001 Australia  
**Call:** 1300 153 653  
**Email:** [client.services@australianstandfirst.com](mailto:client.services@australianstandfirst.com)

## How you can give us instructions

You can give instructions via mail/ post or at email at the sole discretion of the ASFML. Phone calls to and from ASFML may be recorded for quality and assurance purposes. If you do not wish your call to be recorded, please advise the of member when you have been connected. Unless you request otherwise, the email address you provide to ASFML or any member of the ASFML group, may be used to provide notifications, information and important documents (including a FSG and PDS) about your account and/or financial products and/or services offered by ASFML or at the sole discretion of the ASFML or other ASFML Group entities.

## How are we paid for the products and or services we provide?

If you invest in one of our products, we will receive remuneration in relation to your investment in that product.

### This remuneration may include:

- Management fees (charged as a percentage of the value of the assets of the relevant product, as specified in the PDS)
- Performance fees (if applicable, as specified in the PDS for the relevant product)
- Recoverable expenses (charged as a percentage of the value of the assets of the relevant product as specified in the PDS)
- Indirect costs (costs that reduce the funds returns as specified in the PDS)

There may also be some select products where our remuneration includes other transactional based fees (if applicable, as specified in the PDS for the relevant product). In some cases, other costs such as transactional and operational costs, buy and sell spreads and costs related to a specific asset or activity to produce income will apply (if applicable, as specified in the PDS for the relevant product). The constitutions of some funds also allow us to receive contribution fees, withdrawal fees, switching fees, trusteeship fees and a regular investment plan facility fee. The remuneration and costs that we will receive for each product are set out in detail in the PDS for that product. We may provide alternative forms of remuneration, such as professional development, sponsorship, and entertainment for financial advisors, dealer groups and master trustor IDPS operators where the law permits. Where such benefits are provided, they're payable by us and are not an additional cost to you. We maintain register to record any material forms of alternative remuneration we may pay or receive.



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## How our staff are paid?

Our employees and directors receive salaries, bonuses and other benefits from us. The PDS (or other offer document/s) for the particular product may disclose further details of remuneration received by ASAMPL and directors. If you receive financial services from a representative, the representative will set out their remuneration arrangement in your service agreement or verbally or in writing. Any alternative form of remuneration, such as gifts, are recorded by ASFML on Register which outlines all alternative forms of remuneration received by an ASFML representatives. The Register is available upon request.

## How we pay people who refer business to us?

If we pay a fee or commission in relation to a referral where permitted by law, we will make a separate disclosure to you.

We may negotiate a rebate of part of the management fee or charge a lower management fee with investors who are wholesale clients for the purposes of the Corporations Act or with ASFML Group staff.

## Further information

You can ask us for more details about these payments or benefits if you ask for them within a reasonable time after receiving this FSG and before we provide you with any financial services or products.

## Your privacy

At ASFML, the privacy of your personal information is important to us. Any personal information collected will be handled in accordance with our Privacy Policy. To provide you with our services, we maintain a record of the information you provide to us, including your personal profile. Our Privacy Policy details and how we handle your personal information. A copy of that policy, as amended from time to time can be obtained by visiting the Australian Standfirst website at:

<https://australianstandfirst.com/privacy/>

## Compensation arrangement

ASFML on behalf of ASAMPL has Professional Indemnity Insurance Policy in place which satisfies the regulatory requirements for compensation arrangements under section 912B of the Corporations Act 2001 (Cth). Subject to the terms and conditions, the Policy provides cover for civil liability resulting from third party claims concerning the professional services provided by ASAMPL, its employees and representatives. This insurance arrangement continues to provide coverage for past employees and representatives in respect of professional services performed while engaged by ASAMPL.



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## How we handle complaints

We are committed to providing you with premium products and services. If you're unhappy with our products or our service, we would like you to tell us about it and let us know how you think we can improve. If you have a complaint about the service provided to you, you should:

- Contact your nominated representative, or
- Contact our Client Service Centre on 1300 153 653
- Alternatively, if you prefer to submit a written complaint, please do so to:
  - The Complaints Officer GPO Box 4808, MELBOURNE VIC 3001
  - Email: [client.services@australianstandfirst.com](mailto:client.services@australianstandfirst.com)  
[legal@australianstandfirst.com](mailto:legal@australianstandfirst.com)

We will assess your complaint and advise you of the outcome, either by telephone or in writing. If you are not satisfied with our handling of a matter, you may request your complaint to be reviewed free of charge by either the Customer Advocate or contact an external dispute resolution scheme. The Customer Advocate's role, should you decide to pursue this avenue, is to review the reasonableness and fairness of the outcome of your complaint.



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